

BSA/AML 1-Day Advanced Workshop

Monday, October 19 | 9:00AM-4:00PM
Zoom hosted by Compliance Alliance

This One-Day Workshop provides a comprehensive and up-to-date overview of top industry concerns related to BSA/AML compliance responsibilities. In the age of deregulation, BSA/AML is here to stay. Compliance Alliance incorporates up-to-date feedback from its member base to hit the hot topics and stress points for BSA compliance in community banks across the country. This includes preparing for post-Beneficial Owner rule examinations, the latest guidance for banking marijuana and hemp businesses and the ever-growing opportunities and risks of convertible virtual currency.

AGENDA

8:30AM	(Optional) Interactive Huddle on BSA/AML
9:00AM	The “Five” Pillars of BSA
9:55AM	BREAK
10:00AM	High Risk Customers and Enhanced Due Diligence
10:55AM	BREAK
11:00AM	Marijuana Related Businesses and Hemp Banking
12:00PM	LUNCH
12:45PM	Money Services Businesses and Convertible Virtual Currency
1:45PM	BREAK
1:50PM	Effective Policy Writing for BSA/AML
2:25PM	BREAK
2:30PM	Effective BSA/AML Risk Assessments
3:30PM	BSA/AML Lending Activities

ABOUT COMPLIANCE ALLIANCE

An expertly-staffed hotline, federal regulatory tools, policies and procedures, and unlimited reviews for small-to-medium community banks across the U.S. — the only all-inclusive banking compliance advisory. C/A’s nearly 1700 products and tools support 48 separate bank compliance areas — an unlimited-access Bank Compliance Library — conforms to the most recently published federal banking rules and regulations. Whether you are building institutional exam-readiness or a bank compliance program, C/A has all the resources, access, and availability for one membership subscription. Co-owned by the Texas State Bankers Association and 28 other state bankers’ associations across the country. Contact C/A’s Membership Development Team at (888) 353-3933 or info@compliancealliance.com. Website: www.compliancealliance.com

MAINE BANKERS Association

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Registration Form

\$325 per BANK for MBA members | \$450 per person for non-members

MBA is changing our usual registration model for this course, so that more people will be able to take advantage of this workshop. We are offering this one-day course for \$325 per BANK. You can send as many people as you want for one rate. We encourage emerging leaders and folks that might wear the BSA hat (among others) to attend. We will need all email addresses to make sure everyone has the course material and registration codes.

Organization: _____

Contact Person: _____

Email: _____

REGISTRANT

EMAIL

REGISTRATION FEES

MBA member bank @ 325 = \$ _____

_____ non-member registrants @ \$450 = \$ _____

***CLE CREDITS** are
being applied for
for this course!

METHOD OF PAYMENT (check one):

Please invoice (name and email): _____

Check enclosed

Credit card (contact Jaimie Drew at jdrew@mainebankers.com for payment)

Please send this form to: jdrew@mainebankers.com

Questions? Contact Jessica Verry jverry@mainebankers.com