

MAINE BANKERS

Association

2023 DIRECTORS' COLLEGE

NOVEMBER 16 | 8:00 AM - 2:00 PM | AUGUSTA CIVIC CENTER, AUGUSTA

SPEAKER BIOS

Keynote Speaker



Dr. James Clarke, Principal, Clarke Consulting

Specializing in ALCO issues and strategic planning for community banks, Jim lectures on asset/liability management at the Stonier National School of Banking sponsored by ABA, the Connecticut and Pennsylvania banking schools, the Southwest Graduate School of Banking, and the New England School of Banking. He conducts ALM seminars for many state associations, and for the Risk Management Association (RMA) and Financial Managers Society (FMS). He has spoken at the RMA convention, the FMS forum, the Connecticut, and Pennsylvania Community Bankers conventions, Alabama, Ohio, Maine, Massachusetts and Pennsylvania Directors Conferences, and ABA's Mutual Conference.

FDIC Speakers

Michael Aldrich, Regional Capital Markets Specialist, FDIC

Mike began his career with the FDIC as an examiner in the Boston North Field Office in 1993. He currently serves as a Regional Capital Markets Specialist for the New York Region. In this capacity, he is responsible for directing, participating in, and reviewing examinations in the New York Region, which have complex capital markets, securities, or asset/liability management issues. In his career, he has lead examinations of financial institutions ranging from community banks to some of the largest in the nation. Mike has been a Lead Instructor and Course Developer of Asset Liability Management classes at the FDIC, the Federal Reserve Board, and the FFIEC. In addition, he has made presentations to numerous regional and national regulatory and industry audiences and trade groups on capital markets topics. Mike has a B.S in Finance from Ithaca College, an M.S. in Finance from Boston College, and is a graduate of the Stonier Graduate School of Banking.

Sara Trunick, Supervisory Examiner, FDIC

Sara began her career with the FDIC as a Financial Institution Specialist (Risk Management) in Holyoke, Massachusetts in 2006, and now serves as a Supervisory Examiner in the Windsor, Connecticut Field Office. Sara has led and contributed to the examinations of large, complex, and problem institutions across several FDIC territories. She is a Bank Secrecy Act/Anti-Money Laundering subject matter expert for community banks and has been an instructor for FDIC's "Risk Management Capstone" since 2012. Sara enjoys, and has spent considerable time, training and mentoring others at the FDIC. She received a bachelor's degree in Business Administration from Ashland University (Ashland, Ohio).

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SPEAKER BIOS CONT.

Marianne Hatheway, Deputy Regional Director, FDIC

Marianne Hatheway is the Deputy Regional Director for RMS in the New York Region, where she has supervisory responsibility for banks in New England, Maryland, Delaware, District of Columbia, and Puerto Rico. Previously, Marianne served as Deputy Regional Director in the Chicago Regional Office and served as Deputy to two FDIC Board members. Marianne began her 30-year career at the FDIC as a risk management examiner in the Northeast New England Territory, where she also served as Supervisory Examiner and Field Supervisor. She received a Bachelor of Science in Business Management from Boston College in Chestnut Hill, Massachusetts, and earned a Master's Degree in Business Administration from Babson College in Babson Park, Massachusetts. Marianne is a graduate of the USDA's Executive Potential Program and completed the Senior Managers in Government Program at the Harvard Kennedy School in Cambridge, Massachusetts.

Scott Strockoz, Deputy Regional Director (DCP), FDIC

Scott Strockoz currently serves as the FDIC's Deputy Regional Director for Consumer Protection and CRA for the New York Region. Mr. Strockoz is a 31-year veteran of the FDIC and holds examiner commissions in both risk management and consumer protection. During his career, he has served in a variety of roles including Review Examiner; Field Supervisor; Acting Regional Director, New York; Acting Senior Deputy Director, Compliance and Consumer Protection; and Acting National Director for Minority and Community Development Banking. Mr. Strockoz is a graduate of Bloomsburg University and the ABA's Stonier Graduate School of Banking.

Bethany Manning, Assistant Regional Director (RMS), FDIC

Bethany Manning serves as an Assistant Regional Director in the Division of Risk Management Supervision. She is responsible for risk management supervision in northeast Massachusetts, New Hampshire, Maine, and Puerto Rico, and she oversees the New York Region's Accounting Specialty Area. Ms. Manning joined the FDIC in 2001 and held prior positions as the Boston South Field Supervisor, New York Region's Regional Administrative Specialist, a Senior Examiner, and a Supervisory Examiner in the Boston South Field Office, and an Examiner in the Boston North Field Office. She has completed a number of special assignments including Acting Special Assistant to the Division Director, Acting Assistant Regional Director, Acting Case Manager, and Chairman of the Boston Chairman's Diversity Advisory Committee. Bethany completed the Association of Certified Anti-Money Laundering Specialists (ACAMS) certification program in 2007, and serves as a Bank Secrecy Act Subject Matter Expert. Ms. Manning graduated from Northeastern University, Boston, MA, with a Bachelor of Science in Business Administration with a Finance and Marketing concentration, and she is a 2008 graduate of the Graduate School of Banking at the University of Pennsylvania.

Kara Ritchie, Assistant Regional Director (DCP), FDIC

Kara currently serves as an Assistant Regional Director for the Division of Depositor and Consumer Protection. She is responsible for overseeing the territories conducting consumer protection and Community Reinvestment Act examinations throughout the New England states, the District of Columbia, Maryland, New Jersey, Puerto Rico, and the Virgin Islands. Kara began her career with the FDIC in June 1993, as a Compliance Examiner trainee. In April 1997, she became a Commissioned Compliance Examiner and earned her Certified Regulatory Compliance Manager certification. Other positions held at the FDIC include Policy Analyst in the Washington Office, Review Examiner, Supervisory Examiner, and Field Supervisor of the Southern New England Field Territory in Foxborough, Massachusetts. Kara earned a Bachelor of Science from Bentley College in Waltham, Massachusetts in May 1993.

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Jeffrey DeLuca, Senior Financial Analyst, FDIC

Jeffrey joined the FDIC in 2009 as a Financial Institution Specialist for the Division of Risk Management Supervision in the Springfield, Massachusetts field office. He has led and contributed to the examinations of large, complex, and problem institutions across multiple FDIC territories. He served as a capital markets subject matter expert, and conducted securitization and mortgage banking reviews. Jeffrey has also served as an instructor at the FDIC Training Center for both domestic and international students. He transitioned to the FDIC's Division of Insurance and Research in 2016 where he focuses on identifying macro risks affecting the banking environment and quantifying risk exposure within New England's insured institutions. Jeff also monitors Capital Markets and is a contributing member of the Capital Markets research group for the FDIC. He also has an expertise in digital assets and FinTech in banking. Jeffrey holds a Bachelor of Business Administration in Finance and Operations Management from the University of Massachusetts, Amherst.

Stepan Spong, Regional Economist, FDIC

Stefan began his career with the FDIC as a Resolutions and Receiverships Technician in 2010, and now serves as a Regional Economist in the Boston Area office. His work and analysis focuses on ongoing economic trends and the risks they pose to financial institutions and the deposit insurance fund. He has previously served in economist and analyst roles in the Atlanta Regional Office, the Chicago Regional Office, and the Division's International Affairs Branch in Washington, D.C. Prior to his work at the FDIC, he worked in fund accounting at State Street and lectured at Northeastern University where he received Bachelor's and Master's degrees in Economics.

Monika Perzan, Senior Compliance Examiner, FDIC

Monika is a Senior Compliance Examiner for the FDIC Boston South Field Office. She has been with the FDIC for almost ten years, has led, and participated in large and complex compliance and CRA examinations within the New York Region. She also served as an examiner in the Division of Risk Management and participated in various projects in the Division of Resolutions and Receiverships. Monika also has retail banking experience working for community banks and large institutions. Monika holds a Bachelor of Science in Business in Operations Management from DePaul University, Chicago, Illinois, and holds a Certified Regulatory Compliance Manager (CRCM) certification.

Samuel Shaw, Compliance Supervisory Examiner, FDIC

Sam is a Supervisory Examiner, overseeing compliance and CRA examination staff in the FDIC's New England Territory. He previously served as a Review Examiner and Senior Compliance Examiner after joining the FDIC in 2008. Sam has developed and conducted regulatory presentations at numerous outreach venues, including banker and community group sessions. He has led and reviewed a wide range of complex compliance and CRA examinations, serving as a key resource to examiners and bankers in the FDIC's New York Region. Sam graduated from Boston College with a Bachelor of Arts in Economics and a Minor in Hispanic Studies.