



2022 BANK SECRECY ACT (BSA) TRAINING

Wednesday, October 12 | 9:00 AM – 4:00 PM
Zoom

This one-day school provides an up-to-date overview covering a wide range of top industry concerns to ensure your knowledge and understanding of BSA/AML requirements. In the age of deregulation, BSA/AML is here to stay, with its ever-increasing compliance expectations. Compliance Alliance's experts incorporate basic regulatory requirements alongside industry hot topics to cover the major cornerstones of BSA, as well as the latest guidance for cannabis banking.

TOPICS

The Foundation of Your BSA Program

This section will dive into the major components of the Bank Secrecy Act Program, outlining the key requirements. It will provide an overview of program expectations, industry best practices, and insight that can be implemented to ensure your program is efficient, effective, and robust.

Breaking Down the Basics

The foundation to complying with BSA requirements is understanding the expectations and the basics. This session will cover several key BSA requirements such as SAR and CTR reporting, 314(a) and 314(b), and record keeping requirements.

Developing and Revising Risk Assessments

BSA/AML Programs should be risk based and commensurate your bank's size and complexity, but how do you achieve this? Whether you are developing or revising your program, this session will focus on risk assessments including what factors should be considered during the development stage and as part of your overall program.

Customer Relationships and Customer Due Diligence

With the recently issued joint statement on banks taking a risk-based approach to assessing customer relationships and conducting customer due diligence (CDD), it's important to remind banks of the regulatory requirements and expectations. This session will focus on applying a risk-based approach to CDD and adopting appropriate risk-based procedures for conducting ongoing CDD.

Banking Marijuana Businesses

Does your bank provide financial products or services to marijuana businesses? MRBs continue to be an ongoing risk-decision for financial institutions and does not come without serious consideration and planning. This presentation will cover FinCEN guidance and regulatory expectations, along with best practices related to marijuana banking. This includes policy expectations to show regulators adequate compliance and assessment of risk.

BSA Updates and Hot Topics

Keeping up with the latest regulatory guidance and industry expectations is an important element of your program and overall compliance. This session will discuss recently issued guidance, statements, and expectations, along with those hot topic areas such as risk assessments and cryptocurrency.

ABOUT COMPLIANCE ALLIANCE

An expertly-staffed hotline, federal regulatory tools, policies and procedures, and unlimited reviews for small-to-medium community banks across the U.S. — the only all-inclusive banking compliance advisory. C/A's nearly 1700 products and tools support 48 separate bank compliance areas — an unlimited-access Bank Compliance Library — conforms to the most recently published federal banking rules and regulations. Whether you are building institutional exam-readiness or a bank compliance program, C/A has all the resources, access, and availability for one membership subscription. Co-owned by the Texas State Bankers Association and 28 other state bankers' associations across the country. Contact C/A's Membership Development Team at (888) 353-3933 or info@compliancealliance.com. Website: www.compliancealliance.com